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|--|--|--------|-------------|--|
| <div style="display: flex; justify-content: space-between;"> <div style="text-align: center;">  <p>08/29/01</p> <p>105 U.S. PTO</p> </div> <div> <p><b>UTILITY PATENT</b></p> <p><b>APPLICATION TRANSMITTAL</b></p> <p>Only for new nonprovisional applications<br/>under 37 CFR 1.53(b))</p> </div> </div> <p>Check Box, if applicable [ ] Duplicate</p> | Attorney Docket No.                            | 011075 | Total Pages |  |
|  | First Named Inventor or Application Identifier |        |             |  |
|  | Yuji ONO and Ryoichi OHKURA                    |        |             |  |
|  | Express Mail Label No.                         |        |             |  |

**APPLICATION ELEMENTS FOR:**

***SINGLE WAFER TYPE SUBSTRATE CLEANING METHOD AND APPARATUS***

**ADDRESS TO:** Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages [28]

3. ☒ Drawing(s) (35 USC 113) Total Sheets [5]

4. ☒ Oath or Declaration Total Pages [2]

5a. ☒ Newly executed (original)

5b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: S.E.S. COMPANY LIMITED, Tokyo, Japan  
**Please publish the assignee data with the application.**

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **011075**

First Named Inventor or Application Identifier

**Yuji ONO and Ryoichi OHKURA**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

a. Priority of Japanese application No. 370718 filed on December 5, 2000 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

| FEE TRANSMITTAL                       | Number Filed | Number Extra | Rate      | Basic Fee |
|---------------------------------------|--------------|--------------|-----------|-----------|
| The filing fee is calculated below    |              |              |           | \$710.00  |
| Total Claims                          | 38 - 20      | 18           | x \$18.00 | 324.00    |
| Independent Claims                    | 2 - 3        |              | x \$80.00 |           |
| Multiple Dependent Claims             |              |              | \$270.00  | 270.00    |
| Basic Filing Fee                      |              |              |           | 1304.00   |
| Reduction by 1/2 for small entity     |              |              |           |           |
| Fee for recording enclosed Assignment |              |              | \$40.00   | 40.00     |
| TOTAL                                 |              |              |           | 1344.00   |

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

011075

First Named Inventor or Application Identifier

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**PAGE 3 OF 3**

[XX] A check in the amount of \$1,344.00 is enclosed to cover the filing fee; including \$710.00 for basic filing fee; \$324.00 for 18 additional claims; \$270.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**



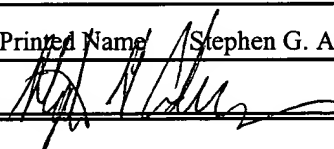
**23850**

PATENT TRADEMARK OFFICE

**SUBMITTED BY**

Typed or Printed Name **Stephen G. Adrian**

Reg. No. **32,878**

Signature 

Date: **August 29, 2001**

SGA/yap

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357